

PNC Global Investment Servicing Profile

An Open Approach

PNC Global Investment Servicing's open approach means that we deliver the processing, technology, and business intelligence services clients need, when and where they need them, the way they want them. Our clients are at the center of everything we do. We know the global investment industry, and we listen to clients to understand their specific issues and business drivers.

By working consultatively and collaboratively; we adopt a client's agenda as our own. It is what you should expect from a provider of strategic solutions. And what you can expect from us.

The need has never been greater with:

- Market volatility
- Increasing uncertainty
- Change
- Disintermediation
- Global competition
- Rising costs; decreasing margins
- Risk

At the same time you face new markets, new products, new distribution channels, new service models, new technologies, and new possibilities. Dealing with these challenges and opportunities is a daunting task.

We help make that task less daunting. As a strategic ally, the ultimate value of what we provide is solutions to help you stay in front of your competitors. Our solutions can make a difference in a company's ability to meet the challenges and take advantage of the opportunities it faces.

We look at business through our clients' eyes and personalize solutions to always fit their specific preferences and evolving requirements—because the right fit means the greatest possible value to enable them to more effectively compete and pursue growth.

What sets us apart and enables us to deliver totally personalized solutions is our unique open approach:

- **Our business model is open** — We provide dedicated servicing, a single point of contact, and flexible delivery options including full service, shared services, and remote servicing.
- **Our product and service array is open** — We offer a uniquely comprehensive set of investor and securities services, have a 30+ year track record of innovation, and are a leader in the core markets we serve.
- **Our capabilities are open** — We service client businesses globally and have a solid international client base.
- **Our technology is open** — Our Global Enterprise PlatformSM is the only flexible and scalable, comprehensive open architecture in the processing industry and is the enabler of our products, services, and solutions.

Our Business

PNC Global Investment Servicing is a leading provider of processing, technology, and business intelligence services to asset managers, broker/dealers, and financial advisors worldwide.

Our Mission

PNC Global Investment Servicing exists to help clients succeed. We enable clients to expand their capabilities, maintain a technical edge, maximize returns on internal resources, grow revenue, and stay ahead of competitors.

Our Vision

PNC Global Investment Servicing will be acknowledged as the strategic ally of choice to the global investment industry, known as the solutions provider whose personalized approach, unrivaled services, and open technology architecture enable clients to stay out front, today and tomorrow.

Corporate Overview

At PNC Global Investment Servicing, we have been delivering personalized solutions to the global investment industry for over 30 years. These solutions are crafted from a comprehensive array of investor and securities services to provide the greatest possible value to clients. We service an international client base from across the United States, Ireland, Poland, Luxembourg, and a presence in the Cayman Islands.

Our full scope of services includes:

Securities Services

Accounting, Administration, and Custody Services

- Accounting and Administration Services
 - Fund Accounting and Recordkeeping
 - Security Pricing
 - Corporate Actions
 - Regulatory Administration Services
 - Tax Services
 - Compliance Support
 - Blue Sky Services

Global Custody

- Securities Processing
- Safekeeping and Trade Settlement
- Income Collection
- Corporate Actions
- Cash Management
- Data Repository and Analytics Suite

Securities Lending Services

Alternative Investment Services

International Fund Services

Fund Start-Up Services

Rule 38a-1 Compliance Support Services

Business Intelligence Services

- Intelligent DashboardSM

- Distribution Management System

Enterprise Investor ServicesSM

Shareholder Services

- Subaccounting
 - Books and Records Services
- Transfer Agency
 - Shareholder Recordkeeping
 - Call Center Services
 - Broker/Dealer Support Services
 - Financial Planner Support Services
 - Data Delivery
 - Internet Account Management
 - eDelivery
 - AdvisorCentral[®]
 - Retirement Plan Support
 - Investor Processing Solution
 - 529 Plan Services
 - Proxy Services
 - USA PATRIOT Act Services
 - Year-End Tax Services
 - Cost Basis Accounting
 - Customer Management Suite
 - Data Repository and Analytics Suite
 - Health Savings Account Services
 - Rule 22c-2 Services
 - Omnibus Transparency

Marketing and Distribution Services

Advanced Output Solutions

Managed Account Services

Alternative Investment Services

International Fund Services

Beneficiary Asset Retention Programs

Fund Start-Up Services

Wealth Reporting Services

Banking Transaction Services

Debit Card/Check Card Processing and ACH Services

- ATM Deposit Services

- Cash Sweep Solution

- Bill Payment and Presentment Services

Our Core Values

- **Client Focus** — drives everything we do
- **Respect** — listening, understanding, and responding
- **Teamwork** — collaboration and cooperation
- **Integrity** — always doing the right thing
- **Performance** — our passion and only bias
- **Diversity** — our collective strength
- **Quality of Life** — business/personal life balance

For more information about PNC Global Investment Servicing, contact Bill Salus, Chief Business Development Officer, at 302.791.2000 or email information@pncgis.com.